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### UTILITY PATENT APPLICATION **TRANSMITTAL**

Attorney Docket No. 112800.301 Keith H.S.Campbel First Inventor or Application Identifier UNACTIVATED OOCYTES AS CYTOPLAST.

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b)) Express Mail Label No.

А	PPLICATION ELEMENTS	Assistant Commissioner for Patents ADDRESS TO: Box Patent Application		
	apter 600 concerning utility patent application contents.	Washington, DC 20231		
1. [4] (Si	ee Transmittal Form (e.g., PTO/SB/17)  ubmit an original and a duplicate for fee processing)  ecification [Total Pages 3.2]	Microfiche Computer Program (Appendix)     Nucleotide and/or Amino Acid Sequence Submission		
	Descriptive title of the Invention  Cross References to Related Applications  Statement Regarding Fed sponsored R & D  Reference to Microfiche Appendix	a. Computer Readable Copy  b. Paper Copy (identical to computer copy)  c. Statement verifying identity of above copies		
	ackground of the Invention trief Summary of the Invention	ACCOMPANYING APPLICATION PARTS		
- E - C - C - A 3. Dr	crief Description of the Drawings (if filed) Detailed Description Detailed Description Detailed Description Descri	Statement filed in prior application,		
FEES, A SMA IF ONE FILE	i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b). ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY LILL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT DIN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).  NITINITING APPLICATION shock appropriate box and	(PTO/SB/09-12) Status still proper and desired  Certified Copy of Priority Document(s) (if foreign priority is claimed)  Other:		
16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:  Continuation Divisional Continuation-in-part (CIP) of prior application No: 08 / 803,165  Prior application information: Examiner Crouch Group / Art Unit: 1632  For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.				
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Patent

In re Application of : CAMPBELL et al.

Serial No.: (Unassigned)

Filed: September 7, 2000

Examiner:

Group Art Unit:

For: UNACTIVATED OOCYTES AS CYTOPLAST RECIPIENTS FOR

**NUCLEAR TRANSFER** 

### PRELIMINARY AMENDMENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Honorable Commissioner of Patents and Trademarks Washington, D. C. 20231

Sir:

Prior to examination, Applicants request that the referenced application be amended as indicated below. The amendments do not introduce new matter into the application.

#### IN THE SPECIFICATION

After the title, insert the following paragraph:

#### -- RELATED APPLICATIONS

This application is a division of US Application No. 08/803,165 filed February 19, 1997, which is a continuation of PCT/GB/02098 filed August 30, 1996, the benefit of the priority dates of which is hereby claimed.--

Docket No.: 112800.301

#### IN THE CLAIMS

Please cancel claims 2 through 18 without prejudice or disclaimer. Please add the following claims:

- 19. A non-human mammal cloned from a fully differentiated cell obtained from a non-human mammal of the same species.
- 20. The non-human mammal of claim 19 in which the fully differentiated cell is obtained *ex vivo*.
- 21. The non-human mammal of claim 20 in which the non-human mammal is selected from the group consisting of mice, cattle, sheep, pigs and goats.
  - 22. A non-human mammal cloned from a cell line cultured in vitro.
- 23. The non-human mammal of claim 22 in which the non-human mammal is selected from the group consisting of mice, cattle, sheep, pigs and goats.
  - 24. A method of reconstituting a non-human mammalian embryo, comprising:
    - (a) transferring the nucleus of a donor cell into an enucleated oocyte, wherein the donor cell is a fully differentiated cell obtained from a non-human mammal, and wherein the donor cell and oocyte are of the same species;
      - (b) activating the oocyte; and
      - (c) incubating the activated oocyte such that an embryo develops.
  - 25. The method of claim 24 in which the fully differentiated cell is obtained ex vivo.
  - 26. A method of cloning a non-human mammal, comprising:
    - (a) transferring the nucleus of a donor cell into an enucleated oocyte, wherein the donor cell is a fully differentiated cell obtained from a non-human mammal, and wherein the donor cell and oocyte are of the same species;

- (b) activating the oocyte;
- (c) incubating the activated oocyte such that an embryo develops;
- (d) transferring the embryo to a female of the same species; and
- (e) developing the embryo into the non-human mammal.
- 27. The method of claim 26 in which the fully differentiated cell is obtained ex vivo.
- 28. The method of claim 27 in which the non-human mammal is selected from the group consisting of mice, cattle, sheep, pigs and goats.
  - 29. A method of cloning a non-human mammal, comprising:
    - (a) transferring a reconstituted embryo comprising an enucleated oocyte and a nucleus of a donor cell, said donor cell comprising a fully differentiated cell obtained from a non-human mammal, to a female; and
    - (b) allowing the reconstituted embryo to develop into the non-human mammal.
- 30. The method of claim 29 in which the non-human mammal is selected from the group consisting of mice, cattle, sheep, pigs and goats.
  - 31. A method of reconstituting a non-human mammalian embryo, comprising:
    - (a) transferring the nucleus of a donor cell into an enucleated oocyte, wherein the donor cell is from a non-human mammalian cell line cultured *in vitro*, and wherein the donor cell and oocyte are of the same species;
      - (b) activating the oocyte; and
      - (c) incubating the activated oocyte such that an embryo develo
  - 32. A method of cloning a non-human mammal, comprising:
    - (a) transferring the nucleus of a donor cell into an enucleated oocyte, wherein the donor cell is from a non-human mammalian cell line cultured *in vitro*, and wherein the donor cell and oocyte are of the same species;

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- (b) activating the oocyte;
- (c) incubating the activated oocyte such that an embryo develops;
- (d) transferring the embryo to a female of the same species; and
- (e) developing the embryo into the non-human mammal.
- 33. The method of claim 32 in which the non-human mammal is selected from the group consisting of mice, cattle, sheep, pigs and goats.
  - 34. A method of cloning a non-human mammal, comprising:
    - (a) transferring reconstituted embryo comprising an enucleated oocyte and a donor cell, said donor cell comprising a cell from a non-human mammalian cell line cultured *in vitro* to a female; and
    - (b) allowing the reconstituted embryo to develop into the non-human mammal.

#### **REMARKS**

Support for the added claims can be found in the application as a whole and in particular as follows:

Claim 17 finds adequate support as claim 32.

Claims 19 and 20 find basis in the application on page 20 at lines 23-24 with reference to page 5 at lines 16-17 which define the cloning of a non-human mammal. Support for the language "ex vivo" can be found in the application on page 8 at lines 3-17.

Support for claim 21 and other dependent claims of the same scope can be found in the application as for claim 19 above with the reference to page 5 at lines 20-28.

Claim 22 finds support in the description on page 10 at lines 23-24 with reference to page 5 at lines 15-17. Support for the language "from a cell line cultured *in vitro*" can be found in the application on page 8, lines 13-17.

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Claim 23 finds support in the description as for claim 4 above with reference to page 5 at lines 20-28.

Claim 24 finds support in the description on page 15 at lines 15-17 and on page 7 at lines 16-18 with reference to page 8 at lines 13-17. The steps (b) and (c) defined in the claim are referred to, for example, on page 12, line 21 to page 14, line 6 and on page 17 at lines 1-3, respectively.

Claim 25 finds support for the language "ex vivo" on page 8 at lines 3-17.

Claim 26 finds support in the description on page 15 at lines 19-27 and on page 7 at lines 16-18 with reference to page 8 at lines 13-17.

Claim 27 finds support for the language "ex vivo" on page 8 at lines 3-17.

Claim 28 finds support in the application on page 5 at lines 20-28.

Claim 29 finds support in the application as for claim 26 above.

Claim 30 finds support in the application on page 5 at lines 20-28.

Claim 31 finds support in the description on page 10 at lines 23-24 with reference to page 5 at lines 15-17. Support for the language "from a cell line cultured *in vitro*" can be found in the application on page 8, lines 13-17.

Claim 32 finds support in the description on page 15 at line 19-27 and on page 7 at lines 16-18 with reference to page 8 at lines 13-17.

Claim 33 finds support in the application as for claim 30 above, with reference to page 5 at lines 20-28.

Claim 34 finds adequate support as claim 32.

Patent

## **AUTHORIZATION**

The Commissioner is authorized to charge any additional fees which may be required for this amendment, or credit any overpayment to Deposit Account No. 50-0436.

Entry of the amendment is respectfully requested.

Respectfully submitted,

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Date: September 8, 2000

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# UNACTIVATED OOCYTES AS CYTOPLAST RECIPIENTS FOR NUCLEAR TRANSFER

This invention relates to the generation of animals including but not being limited to genetically selected and/or modified animals, and to cells useful in their generation.

The reconstruction of mammalian embryos by the transfer of a donor nucleus to an enucleated oocyte or one cell zygote allows the production of genetically identical individuals. This has clear advantages for both research (i.e. as biological controls) and also in commercial applications (i.e. multiplication of genetically valuable livestock, uniformity of meat products, animal management).

Embryo reconstruction by nuclear transfer was first proposed (Spemann, Embryonic Development and Induction 210-211 Hofner Publishing Co., New York (1938)) in order to answer the question of nuclear equivalence or 'do nuclei change during development?'. By transferring nuclei from increasingly advanced embryonic stages these experiments were designed to determine at which point nuclei became restricted in their developmental potential. Due to technical limitations and the unfortunate death of Spemann these studies were not completed until 1952, when it was demonstrated in the frog that certain nuclei could direct development to a sexually mature adult (Briggs and King, Proc. Natl. Acad. Sci. USA 38 455-461 (1952)). Their findings led to the current concept that equivalent totipotent nuclei from a individual could, when transferred enucleated egg, give rise to "genetically identical"

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individuals. In the true sense of the meaning these individuals would not be clones as unknown cytoplasmic contributions in each may vary and also the absence of any chromosomal rearrangements would have to be demonstrated.

Since the demonstration of embryo cloning in amphibians, similar techniques have been applied to mammalian species. These techniques fall into two categories:

1) transfer of a donor nucleus to a matured metaphase II occyte which has had its chromosomal DNA removed and

2) transfer of a donor nucleus to a fertilised one cell zygote which has had both pronuclei removed. In ungulates the former procedure has become the method of choice as no development has been reported using the latter other than when pronuclei are exchanged.

Transfer of the donor nucleus into the oocyte cytoplasm is generally achieved by inducing cell fusion. In ungulates fusion is induced by application of a DC electrical pulse across the contact/fusion plane of the The same pulse which induces cell fusion also activates the recipient oocyte. Following embryo reconstruction further development is dependent on a large number of factors including the ability of the nucleus direct development i.e. totipotency, developmental competence of the recipient cytoplast (i.e. oocyte maturation), oocyte activation, embryo culture (reviewed Campbell and Wilmut in Vth World Congress on Genetics as Applied to Livestock 20 180-187 (1994)).

In addition to the above we have shown that maintenance of correct ploidy during the first cell cycle of the reconstructed embryo is of major importance (Campbell

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et al., Biol. Reprod. 49 933-942 (1993); Campbell et al., Biol. Reprod. 50 1385-1393 (1994)). During a single cell cycle all genomic DNA must be replicated once and only once prior to mitosis. If any of the DNA either fails to replicate or is replicated more than once then the ploidy of that nucleus at the time of mitosis will be incorrect. The mechanisms by which replication is restricted to a single round during each cell cycle are unclear, however, several lines of evidence have implicated maintenance of an intact nuclear membrane is crucial to The morphological events which occur in this control. the donor nucleus after transfer into an enucleated metaphase II oocyte have been studied in a number of species including mouse (Czolowiska et al., J. Cell Sci. 69 19-34 (1984)), rabbit (Collas and Robl, Biol. Reprod. 45 455-465 (1991)), pig (Prather et al., J. Exp. Zool. 225 355-358 (1990)), cow (Kanka et al., Mol. Reprod. Dev. 29 110-116 (1991)). Immediately upon fusion the donor nuclear envelope breaks down (NEBD), and the chromosomes prematurely condense (PCC). These effects are catalysed by a cytoplasmic activity termed maturation/mitosis/ meiosis promoting factor (MPF). This activity is found in all mitotic and meiotic cells reaching a maximal activity at metaphase. Matured mammalian oocytes are arrested at metaphase of the 2nd meiotic division (metaphase II) and have high MPF activity. Upon fertilisation or activation MPF activity declines, the second meiotic division is completed and the second polar body extruded, the chromatin then decondenses pronuclear formation occurs. In nuclear transfer embryos reconstructed when MPF levels are high NEBD and PCC occur; these events are followed, when MPF declines, by chromatin decondensation and nuclear reformation and subsequent DNA replication. In

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reconstructed embryos correct ploidy can be maintained in one of two ways; firstly by transferring nuclei at a defined cell cycle stage, e.g. diploid nuclei of cells in G1, into metaphase II oocytes at the time of activation; or secondly by activating the recipient oocyte and transferring the donor nucleus after the disappearance of MPF activity. In sheep this latter approach has yielded an increase in the frequency of development to the blastocyst stage from 21% to 55% of reconstructed embryos when using blastomeres from 16 cell embryos as nuclear donors (Campbell et al., Biol. Reprod. 50 1385-1393 (1994)).

These improvements in the frequency of development of reconstructed embryos have as yet not addressed the question of nuclear reprogramming. During development certain genes become "imprinted" i.e. are altered such that they are no longer transcribed. Studies on imprinting have shown that this "imprinting" is removed during germ cell formation (i.e. reprogramming). One possibility is that this reprogramming is affected by exposure of the chromatin to cytoplasmic factors which are present in cells undergoing meiosis. This raises the question of how we may mimic this situation during the reconstruction of embryos by nuclear transfer in order to reprogram the developmental clock of the donor nucleus.

It has now been found that nuclear transfer into an oocyte arrested in metaphase II can give rise to a viable embryo if normal ploidy (i.e. diploidy) is maintained and if the embryo is not activated at the time of nuclear transfer. The delay in activation allows the nucleus to remain exposed to the recipient cytoplasm.

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According to a first aspect of the present invention there is provided a method of reconstituting an animal embryo, the method comprising transferring a diploid nucleus into an oocyte which is arrested in the metaphase of the second meiotic division without concomitantly activating the oocyte, keeping the nucleus exposed to the cytoplasm of the recipient for a period of time sufficient for the reconstituted embryo to become capable of giving rise to a live birth and subsequently activating the reconstituted embryo while maintaining correct ploidy. At this stage, the reconstituted embryo is a single cell.

In principle, the invention is applicable to all animals, including birds such as domestic fowl, amphibian species and fish species. In practice, however, it will be to non-human animals, especially non-human mammals. particularly placental mammals, that the greatest commercially useful applicability is presently envisaged. It is with ungulates, particularly economically important ungulates such as cattle, sheep, goats, water buffalo, camels and pigs that the invention is likely to be most useful, both as a means for cloning animals and as a means for generating transgenic animals. It should also be noted that the invention is also likely to be applicable to other economically important animal species such as, for example, horses, llamas or rodents, e.g. rats or mice, or rabbits.

The invention is equally applicable in the production of transgenic, as well as non-transgenic animals. Transgenic animals may be produced from genetically altered donor cells. The overall procedure has a number of advantages over conventional procedures for the production of

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transgenic (i.e. genetically modified) animals which may be summarised as follows:

- (1) fewer recipients will be required;
- (2) multiple syngeneic founders may be generated using clonal donor cells;
  - (3) subtle genetic alteration by gene targeting is permitted;
- (4) all animals produced from embryos prepared by the invention should transmit the relevant genetic modification through the germ line as each animal is derived from a single nucleus; in contrast, production of transgenic animals by pronuclear injection or chimerism after inclusion of modified stem cell populations by blastocyst injection produces a proportion of mosaic animals in which all cells do not contain the modification and may not transmit the modification through the germ line; and
- (5) cells can be selected for the site of genetic modification (e.g. integration) prior to the generation of the whole animal.

It should be noted that the term "transgenic", in relation to animals, should not be taken to be limited to referring to animals containing in their germ line one or more genes from another species, although many transgenic animals will contain such a gene or genes. Rather, the term refers more broadly to any animal whose germ line has been the subject of technical intervention by recombinant DNA technology. So, for example, an animal in whose germ line an endogenous gene has been deleted, duplicated, activated or modified is a transgenic animal for the purposes of this invention as much as an animal

to whose germ line an exogenous DNA sequence has been added.

In embodiments of the invention in which the animal is transgenic, the donor nucleus is genetically modified. The donor nucleus may contain one or more transgenes and the genetic modification may take place prior to nuclear transfer and embryo reconstitution. Although microinjection, analogous to injection into the male or female pronucleus of a zygote, may be used as a method of genetic modification, the invention is not limited to that methodology: mass transformation or transfection techniques can also be used e.g. electroporation, viral transfection or lipofection.

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In the method of the invention described above, a diploid nucleus is transferred from a donor into the enucleated recipient oocyte. Donors which are diploid at the time of transfer are necessary in order to maintain the correct ploidy of the reconstituted embryo; therefore donors may be either in the G1 phase or preferably, as is the subject of our co-pending PCT patent application No. PCT/GB96/02099 filed today (claiming priority from GB 9517780.4), in the G0 phase of the cell cycle.

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The mitotic cell cycle has four distinct phases, G, S, G2 and M. The beginning event in the cell cycle, called start, takes place in the G1 phase and has a unique function. The decision or commitment to undergo another cell cycle is made at start. Once a cell has passed through start, it passes through the remainder of the G1 phase, which is the pre-DNA synthesis phase. The second stage, the S phase, is when DNA synthesis takes place. This is followed by the G2 phase, which is the period

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between DNA synthesis and mitosis. Mitosis itself occurs at the M phase. Quiescent cells (which include cells in which quiescence has been induced as well as those cells which are naturally quiescent, such as certain fully differentiated cells) are generally regarded as not being in any of these four phases of the cycle; they are usually described as being in a GO state, so as to indicate that they would not normally progress through the cycle. The nuclei of quiescent GO cells, like the nuclei of G1 cells, have a diploid DNA content; both of such diploid nuclei can be used in the present invention.

Subject to the above, it is believed that there is no significant limitation on the cells that can be used in nuclear donors: fully or partially differentiated cells or undifferentiated cells can be used as can cells which are cultured in vitro or abstracted ex vivo. limitation is that the donor cells have normal DNA content and be karyotypically normal. A preferred source of cells is disclosed in our co-pending PCT patent application No. PCT/GB95/02095, published as WO 96/07732. It is believed that all such normal cells contain all of the genetic information required for the production of an adult animal. The present invention allows this information to be provided to the developing embryo by altering chromatin structure such that the genetic material can re-direct development.

Recipient cells useful in the invention are enucleated oocytes which are arrested in the metaphase of the second meiotic division. In most vertebrates, oocyte maturation proceeds in vivo to this fairly late stage of the egg maturation process and then arrests. At ovulation, the arrested oocyte is released from the ovary (and, if

fertilisation occurs, the oocyte is naturally stimulated to complete meiosis). In the practice of the invention, oocytes can be matured either *in vitro* or *in vivo* and are collected on appearance of the 1st polar body or as soon as possible after ovulation, respectively.

It is preferred that the recipient be enucleate. has been generally assumed that enucleation recipient oocytes in nuclear transfer procedures essential, there is no published experimental confirmation of this judgement. The original procedure described for ungulates involved splitting the cell into two halves, one of which was likely to be enucleated (Willadsen Nature 320 (6) 63-65 (1986)). This procedure has the disadvantage that the other unknown half will still have the metaphase apparatus and that the reduction in volume of the cytoplasm is believed to accelerate the pattern of differentiation of the new embryo (Eviskov et al., Development 109 322-328 (1990)).

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More recently, different procedures have been used in attempts to remove the chromosomes with a minimum of cytoplasm. Aspiration of the first polar body and neighbouring cytoplasm was found to remove the metaphase II apparatus in 67% of sheep oocytes (Smith & Wilmut Biol. Reprod. 40 1027-1035 (1989)). Only with the use of DNA-specific fluorochrome (Hoechst 33342) was a method provided by which enucleation would be guaranteed with the minimum reduction in cytoplasmic volume (Tsunoda et al., J. Reprod. Fertil. 82 173 (1988)). In livestock species, this is probably the method of routine use at present (Prather & First J. Reprod. Fertil. Suppl. 41 125 (1990), Westhusin et al., Biol. Reprod. (Suppl.) 42 176 (1990)).

There have been very few reports of non-invasive approaches to enucleation in mammals, whereas in amphibians, irradiation with ultraviolet light is used as a routine procedure (Gurdon Q. J. Microsc. Soc. 101 299-311 (1960)). There are no detailed reports of the use of this approach in mammals, although during the use of DNA-specific fluorochrome it was noted that exposure of mouse oocytes to ultraviolet light for more than 30 seconds reduced the developmental potential of the cell (Tsunoda et al., J. Reprod. Fertil. 82 173 (1988)).

As described above enucleation may be achieved physically, by actual removal of the nucleus, pro-nuclei or metaphase plate (depending on the recipient cell), or functionally, such as by the application of ultraviolet radiation or another enucleating influence.

After enucleation, the donor nucleus is introduced either by fusion to donor cells under conditions which do not induce oocyte activation or by injection under non-activating conditions. In order to maintain the correct ploidy of the reconstructed embryo the donor nucleus must be diploid (i.e. in the GO or G1 phase of the cell cycle) at the time of fusion.

Once suitable donor and recipient cells have been prepared, it is necessary for the nucleus of the former to be transferred to the latter. Most conveniently, nuclear transfer is effected by fusion. Activation should not take place at the time of fusion.

Three established methods which have been used to induce fusion are:

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- (1) exposure of cells to fusion-promoting chemicals, such as polyethylene glycol;
- (2) the use of inactivated virus, such as Sendai virus; and
- (3) the use of electrical stimulation.

Exposure of cells to fusion-promoting chemicals such as polyethylene glycol or other glycols is a routine procedure for the fusion of somatic cells, but it has not been widely used with embryos. As polyethylene glycol is toxic it is necessary to expose the cells for a minimum period and the need to be able to remove the chemical quickly may necessitate the removal of the zona pellucida (Kanka et al., Mol. Reprod. Dev. 29 110-116 (1991)). experiments with mouse embryos, inactivated Sendai virus provides an efficient means for the fusion of cells from cleavage-stage embryos (Graham Wistar Inst. Symp. Monogr. 9 19 (1969)), with the additional experimental advantage that activation is not induced. In ungulates, fusion is commonly achieved by the same electrical stimulation that is used to induce parthogenetic activation (Willadsen Nature 320 (6) 63-65 (1986), Prather et al., Biol. Reprod. 37 859-866 (1987)). In these species, Sendai virus induces fusion in a proportion of cases, but is not sufficiently reliable for routine application (Willadsen Nature 320 (6) 63-65 (1986)).

While cell-cell fusion is a preferred method of effecting nuclear transfer, it is not the only method that can be used. Other suitable techniques include microinjection (Ritchie and Campbell, *J. Reproduction and Fertility* Abstract Series No. 15, p60).

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In a preferred embodiment of the invention, fusion of the occyte karyoplast couplet is accomplished in the absence of activation by electropulsing in 0.3M mannitol solution or 0.27M sucrose solution; alternatively the nucleus may be introduced by injection in a calcium free medium. The age of the occytes at the time of fusion/injection and the absence of calcium ions from the fusion/injection medium prevent activation of the recipient occyte.

10 In practice, it is best to enucleate and conduct the transfer s soon as possible after the oocyte reaches metaphase II. The time that this will be post onset of maturation (in vitro) or hormone treatment (in vivo) will depend on the species. For cattle or sheep, nuclear transfer should preferably take place within 24 hours; 15 for pigs, within 48 hours; mice, within 12 hours; and rabbits within 20-24 hours. although transfer can take place later, it becomes progressively more difficult to achieve as the oocyte ages. High MPF activity is 20 desirable.

Subsequently, the fused reconstructed embryo, which is generally returned to the maturation medium, is maintained without being activated so that the donor nucleus is exposed to the recipient cytoplasm for a period of time sufficient to allow the reconstructed embryo to become capable, eventually, of giving rise to a live birth (preferably of a fertile offspring).

The optimum period of time before activation varies from species to species and can readily be determined by experimentation. For cattle, a period of from 6 to 20 hours is appropriate. The time period should probably not be less than that which will allow chromosome

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formation, and it should not be so long either that the couplet activates spontaneously or, in extreme cases that it dies.

When it is time for activation, any conventional or other 5 suitable activation protocol can be used. experiments have shown that the requirements parthogenetic activation are more complicated than had been imagined. It had been assumed that activation is an all-or-none phenomenon and that the large number of 10 treatments able to induce formation of a pronucleus were all causing "activation". However, exposure of rabbit oocytes to repeated electrical pulses revealed that only selection of an appropriate series of pulses and control of the  $Ca^{2+}$  was able to promote development of diploidized oocytes to mid-gestation (Ozil Development 109 117-127 (1990). During fertilization there are repeated, transient increases in intracellular concentration (Cutbertson & Cobbold Nature 316 541-542 (1985)) and electrical pulses are believed to cause analogous increases in calcium concentration. evidence that the pattern of calcium transients varies with species and it can be anticipated that the optimal pattern of electrical pulses will vary in a similar The interval between pulses in the rabbit is manner. approximately 4 minutes (Ozil Development 109 117-127 (1990)), and in the mouse 10 to 20 minutes (Cutbertson &Cobbold *Nature* **316** 541-542 (1985)), while there are preliminary observations in the cow that the interval is approximately 20 to 30 minutes (Robl et al., in Symposium on Cloning Mammals by Nuclear Transplantation (Seidel ed.), Colorado State University, 24-27 (1992)). published experiments activation was induced with a single electrical pulse, but new observations suggest

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that the proportion of reconstituted embryos that develop is increased by exposure to several pulses (Collas & Robl Biol. Reprod. 43 877-884 (1990)). In any individual case, routine adjustments may be made to optimise the number of pulses, the field strength and duration of the pulses and the calcium concentration of the medium.

In the practice of the invention, correct ploidy must be maintained during activation. It is desirable to inhibit or stabilise microtubule polymerisation in order to prevent the production of multiple pronuclei, thereby to maintain correct ploidy. This can be achieved by the application of a microtubule inhibitor such as nocodazole at an effective concentration (such as about  $5\mu g/ml$ ). Colchecine and colcemid are other microtubule inhibitors. Alternatively, a microtubule stabiliser, such as, for example, taxol could be used.

The molecular component of microtubules (tubulin) is in a state of dynamic equilibrium between the polymerised and non-polymerised states. Microtubule inhibitors such as nocodazole prevent the addition of tubulin molecules to microtubules, thereby disturbing the equilibrium and leading to microtubule depolymerisation and destruction of the spindle. It is preferred to add the microtubule inhibitor a sufficient time before activation to ensure complete, or almost complete, depolymerisation of the Twenty to thirty minutes is likely to be microtubules. sufficient in most cases. A microtubule stabiliser such as taxol prevents the breakdown of the spindle and may also therefore prevent the production of multiple pronuclei. Use of a microtubule stabiliser is preferably under similar conditions to those used for microtubule inhibitors.

The microtubule inhibitor or stabiliser should remain present after activation until pronuclei formation. It should be removed thereafter, and in any event before the first division takes place.

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In a preferred embodiment of the invention at 30-42 hours post onset of maturation (bovine and ovine, i.e. 6-18 hours post nuclear transfer) the reconstructed oocytes are placed into medium containing nocodazole (5 $\mu$ g/ml) and activated using conventional protocols. Incubation in nocodazole may be continued for 4-6 hours following the activation stimulus (dependent upon species and oocyte age).

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According to a second aspect of the invention, there is provided a viable reconstituted animal embryo prepared by a method as described previously.

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According to a third aspect of the invention, there is provided a method of preparing an animal, the method comprising:

(a) reconstituting an animal embryo as described above; and

25 (b) causing an animal to develop to term from the embryo; and

(c) optionally, breeding from the animal so formed.

Step (a) has been described in depth above.

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The second step, step (b) in the method of this aspect of the invention is to cause an animal to develop to term from the embryo. This may be done directly or indirectly. In direct development, the reconstituted embryo from step

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(a) is simply allowed to develop without further intervention beyond any that may be necessary to allow the development to take place. In indirect development, however, the embryo may be further manipulated before full development takes place. For example, the embryo may be split and the cells clonally expanded, for the purpose of improving yield.

Alternatively or additionally, it may be possible for increased yields of viable embryos to be achieved by means of the present invention by clonal expansion of donors and/or if use is made of the process of serial (nuclear) transfer. A limitation in the presently achieved rate of blastocyst formation may be due to the fact that a majority of the embryos do not "reprogram" (although an acceptable number do). If this is the case, then the rate may be enhanced as follows. Each embryo that does develop itself can be used as a nuclear donor at the 32-64 cell stage; alternatively, inner cell mass cells can be used at the blastocyst stage. embryos do indeed reflect those which have reprogrammed gene expression and those nuclei are in fact reprogrammed (as seems likely), then each developing embryo may be multiplied in this way by the efficiency of the nuclear transfer process. The degree of enhancement likely to be achieved depends upon the cell type. In sheep, it is readily possible to obtain 55% blastocyst stage embryos by transfer of a single blastomere from a 16 cell embryo to a preactivated "Universal Recipient" oocyte. So it is reasonable to hypothesise that each embryo developed from a single cell could give rise to eight at the 16 cell stage. Although these figures are just a rough guide, it is clear that at later developmental stages the extent of benefit would depend on the efficiency of the process at that stage.

Aside from the issue of yield-improving expediencies, the reconstituted embryo may be cultured, in vivo or in vitro to blastocyst.

5 Experience suggests that embryos derived by nuclear transfer are different from normal embryos and sometimes benefit from or even require culture conditions in vivo other than those in which embryos are usually cultured (at least in vivo). The reason for this is not known. 10 In routine multiplication of bovine embryos, reconstituted embryos (many of them at once) have been cultured in sheep oviducts for 5 to 6 days (as described by Willadsen, In Mammalian Egg Transfer (Adams, E.E., ed.) 185 CRC Press, Boca Raton, Florida (1982)). 15 practice of the present invention, though, in order to protect the embryo it should preferably be embedded in a protective medium such as agar before transfer and then dissected from the agar after recovery from the temporary recipient. The function of the protective agar or other 20 medium is twofold: first, it acts as a structural aid for the embryo by holding the zona pellucida together; and secondly it acts as barrier to cells of the recipient animal's immune system. Although this approach increases the proportion of embryos that form blastocysts, there is the disadvantage that a number of embryos may be lost. 25

If in vitro conditions are used, those routinely employed in the art are quite acceptable.

At the blastocyst stage, the embryo may be screened for suitability for development to term. Typically, this will be done where the embryo is transgenic and screening and selection for stable integrants has been carried out. Screening for non-transgenic genetic markers may also be

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carried out at this stage. However, because the method of the invention allows for screening of donors at an earlier stage, that will generally be preferred.

5 After screening, if screening has taken place, \* blastocyst embryo is allowed to develop to term. will generally be in vivo. If development up to blastocyst has taken place in vitro, then transfer into the final recipient animal takes place at this stage. blastocyst development has taken place in vivo, although 10 in principle the blastocyst can be allowed to develop to in the pre-blastocyst host, in practice the blastocyst will usually be removed from the (temporary) pre-blastocyst recipient and, after dissection from the 15 protective medium, will be transferred to the (permanent) post-blastocyst recipient.

In optional step (c) of this aspect of the invention, animals may be bred from the animal prepared by the preceding steps. In this way, an animal may be used to establish a herd or flock of animals having the desired genetic characteristic(s).

Animals produced by transfer of nuclei from a source of genetically identical cells share the same nucleus, but are not strictly identical as they are derived from different oocytes. The significance of this different origin is not clear, but may affect commercial traits. Recent analyses of the mitochondrial DNA of dairy cattle in the Iowa State University Breeding Herd revealed associated with milk and reproductive performance (Freeman & Beitz, In Symposium on Cloning Mammals by Nuclear Transplantation (Seidel, G. E. Jr., ed.) 17-20, Colorado State University, Colorado (1992)). It remains

to be confirmed that similar effects are present throughout the cattle population and to consider whether it is possible or necessary in specific situations to consider the selection of oocytes. In the area of cattle breeding the ability to produce large numbers of embryos from donors of high genetic merit may have considerable potential value in disseminating genetic improvement through the national herd. The scale of application will depend upon the cost of each embryo and the proportion of transferred embryos able to develop to term.

By way of illustration and summary, the following scheme sets out a typical process by which transgenic and non-transgenic animals may be prepared. The process can be regarded as involving five steps:

(1) isolation of diploid donor cells;

(2) optionally, transgenesis, for example by transfection with suitable constructs, with or without selectable markers;

(2a) optionally screen and select for stable
 integrants - skip for micro-injection;

- (3) embryo reconstitution by nuclear transfer;
- (4) culture, in vivo or in vitro, to blastocyst;
  (4a) optionally screen and select for stable integrants omit if done at 2a or other desired characteristics;
- (5) transfer if necessary to final recipient.
- This protocol has a number of advantages over previously published methods of nuclear transfer:
  - 1) The chromatin of the donor nucleus can be exposed to the meiotic cytoplasm of the recipient oocyte in the

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absence of activation for appropriate periods of time. This may increase the "reprogramming" of the donor nucleus by altering the chromatin structure.

- 5 2) Correct ploidy of the reconstructed embryo is maintained when GO/G1 nuclei are transferred.
  - 3) Previous studies have shown that activation responsiveness of bovine/ovine oocytes increases with age. One problem which has previously been observed is that in unenucleated aged oocytes duplication of the meiotic spindle pole bodies occurs and multipolar spindles are observed. However, we report that in embryos reconstructed and maintained with high MPF levels nuclear envelope breakdown and condensation occur no organised spindle is observed. prematurely condensed chromosomes remain in a tight bunch, therefore we can take advantage of the ageing process and increase the activation response of the reconstructed oocyte without adversely affecting the ploidy of the reconstructed embryo.

According to a fourth aspect of the invention, there is provided an animal prepared as described above.

Preferred features of each aspect of the invention are as for each other aspect, mutatis mutandis.

The invention will now be described by reference to the accompanying Examples which are provided for the purposes of illustration and are not to be construed as being limiting on the present invention. In the following description, reference is made to the accompanying drawing, in which:

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FIGURE 1 shows the rate of maturation of bovine occytes in vitro.

#### Example 1: "MAGIC" Procedure using Bovine Oocytes

Recipient oocytes the subject of this experimental procedure are designated MAGIC (Metaphase Arrested G1/G0 AcceptIng Cytoplast) Recipients.

The nuclear and cytoplasmic events during in vitro oocyte maturation were studied. In addition the roles of fusion and activation in embryos reconstructed at different ages were also investigated. The studies have shown that oocyte maturation is asynchronous; however, a population of matured oocytes can be morphologically selected at 18 hours (Figure 1).

#### Morphological selection of oocytes

In Figure 1 ovaries were obtained from a local abattoir and maintained at 28-32°C during transport to laboratory. Cumulus oocyte complexes (COC's) aspirated from follicles 3-10mm in diameter using a hypodermic needle (1.2mm internal diameter) and placed into sterile plastic universal containers. The universal containers were placed into a warmed chamber (35°C) and the follicular material allowed to settle for 10-15 minutes before pouring off three quarters The remaining follicular material supernatant. diluted with an equal volume of dissection medium (TCM 199 with Earles salts (Gibco), 75.0 mg/l kanamycin, 30.0mM Hepes, pH 7.4, osmolarity 280 mOsmols/Kg  $H_2O$ ) supplemented with 10% bovine serum, transferred into an 85mm petri dish and searched for COC's under a dissecting microscope.

Complexes with at least 2-3 compact layers of cumulus cells were selected washed three times in dissection medium and transferred into maturation medium (TC medium 199 with Earles salts (Gibco), 75mg/l kanamycin, 30.0mM Hepes, 7.69mM NaHCO3, pH 7.8, osmolarity 280 mOsmols/Kg H<sub>2</sub>O) supplemented with 10% bovine serum and 1x10<sup>6</sup> granulosa cells/ml and cultured on a rocking table at 39°C in an atmosphere of 5% CO2 in air. Oocytes were removed from the maturation dish and wet mounted on ethanol cleaned glass slides under coverslips which were attached using a mixture of 5% petroleum jelly 95% wax. Mounted embryos were then fixed for 24 hours in freshly prepared methanol: glacial acetic acid (3:1), stained with 45% aceto-orcein (Sigma) and examined by phase contrast and DIC microscopy using a Nikon Microphot-SA, the graph in Figure 1 shows the percentage of occytes at MII and those with a visible polar body.

#### Activation of bovine follicular occytes

If maturation is then continued until 24 hours these occytes activate at a very low rate (24%) in mannitol containing calcium (Table 1a). However, removal of calcium and magnesium from the electropulsing medium prevents any activation.

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Table 1a shows activation of bovine follicular oocytes matured *in vitro* for different periods. Oocytes were removed from the maturation medium, washed once in activation medium, placed into the activation chamber and given a single electrical pulse of  $1.25 \, \text{kV/cm}$  for  $80 \, \mu \text{s}$ .

Table 1a

No. of oocytes	Hours post onset of maturation (hpm) [age (hrs)]	Pronuclear formation (% activation)
73	24	24.6
99	30	84.8
55	45	92.7*

\*many 2 or more pronuclei

Activation response of sham enucleated bovine oocytes 10 Table 1b shows activation response of in vitro matured bovine oocytes sham enucleated at approximately 22 hours post onset of maturation (hpm). Oocytes were treated exactly as for enucleation, a small volume of cytoplasm was aspirated not containing the metaphase plate. 15 manipulation the oocytes were given a single DC pulse of 1.25 KV/cm and returned to the maturation medium, at 30 hpm and 42 hpm groups of oocytes were mounted, fixed and stained with aceto-orcein. The results show the number of oocytes at each time point from five individual 20 experiments as the number of cells having pronuclei with respect to the total number of cells.

Table 1b

EXPERIMENT	No. cells having pronuclei/ Total no. of cells	No. cells having pronuclei/ Total no. of cells
	30 hpm	42 hpm
1	1/8	_
2	0/24	0/30
3	0/21	0/22
4	0/27	0/25
5	0/19	0/1

hpm = hours post onset of maturation

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### Pronuclear formation in enucleated oocytes

Table 2 shows pronuclear formation in enucleated oocytes fused to primary bovine fibroblasts (24 hpm) and subsequently activated (42hpm). The results represent five separate experiments. Oocytes were divided into two groups, group A were incubated in nocodazole for 1 hour prior to activation and for 6 hours following activation. Group B were not treated with nocodazole. Activated oocytes were fixed and stained with aceto-orcein 12 hours post activation. The number of pronuclei (PN) in each parthenote was then scored under phase contrast. The results are expressed as the percentage of activated oocytes containing 1 or more pronuclei.

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<u>Table 2</u>

	TOTAL	1 PN	2 PN	3 PN	4 PN	>4 PN
GROUP A	52	100	0	0	0	0
GROUP B	33	45.2	25.8	16.1	3.2	9.7

The absence of an organised spindle and the absence of a polar body suggests that in order to maintain ploidy in the reconstructed embryo then only a diploid i.e. GO/G1 nucleus should be transferred into this cytoplasmic situation. Incubation of activated oocytes in the presence of the microtubule inhibitor nocodazole for 5 hours, 1 hour prior to and following the activation stimulus prevents the formation of micronuclei (Table 2) and thus when the donor nucleus is in the GO/G1 phase of the cell cycle the correct ploidy of the reconstructed embryo is maintained.

#### Results

These results show that:

- i) these oocytes can be enucleated at 18 hours post onset of maturation (Figure 1):
- ii) enucleated oocytes can be fused to donor blastomeres/cells in either 0.3M mannitol or 0.27M sucrose alternatively the donor the cells or nuclei can be injected in calcium free medium in the absence of any activation response;
- iii) reconstructed embryos or enucleated pulsed oocytes can be cultured in maturation medium and do not undergo spontaneous activation:
- iv) the transferred nucleus is seen to undergo nuclear envelope breakdown (NEBD) and chromosome condensation.

  No organised meiotic/mitotic spindle is observed regardless of the cell cycle stage of the transferred nucleus;
- v) such manipulated couplets will activate at 30 hours and 42 hours with a frequency equal to unmanipulated control oocytes;
- vi) no polar body is observed following subsequent activation, regardless of the cell cycle stage of the transferred nucleus;
  - viii) upon subsequent activation 1-5 micronuclei are formed per reconstructed zygote (Table 2).
  - Reconstruction of bovine embryos using "MAGIC" procedure
    In preliminary experiments this technique has been
    applied to the reconstruction of bovine embryos using
    primary fibroblasts synchronised in the GO phase of the

cell cycle by serum starvation for five days. The results are summarised in Table 3.

Table 3 shows development of bovine embryos reconstructed by nuclear transfer of serum starved (G0) bovine primary fibroblasts into enucleated unactivated MII oocytes. Embryos were reconstructed at 24 hpm and the fused couplets activated at 42 hpm. Fused couplets were incubated in nocodazole ( $5\mu g/ml$ ) in M2 medium for 1 hour prior to activation and 5 hours post activation. Couplets were activated with a single DC pulse of 1.25 KV/cm for  $80\mu sec$ .

Table 3

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EXPERIMENT NUMBER	NUMBER OF BLASTOCYSTS/ TOTAL NUMBER OF FUSED COUPLETS	% BLASTOCYSTS
1	1/30	3.3
2	4/31	12.9

### Example 2: "MAGIC" Procedure using Ovine Oocytes

Similar observations to those in Example 1 have also been made in ovine oocytes which have been matured in vivo. Freshly ovulated oocytes can be retrieved by flushing from the oviducts of superstimulated ewes 24 hours after prostaglandin treatment. The use of calcium magnesium free PBS/1.0% FCS as a flushing medium prevents oocyte activation. Oocytes can be enucleated in calcium free medium and donor cells introduced as above in the absence of activation. No organised spindle is observed, multiple nuclei are formed upon subsequent activation and this may be suppressed by nocodazole treatment.

#### Results

In preliminary experiments in sheep, a single pregnancy has resulted in the birth of a single live lamb. The results are summarised in Tables 4 and 5.

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Table 4 shows development of ovine embryos reconstructed by transfer of an embryo derived established cell line to unactivated enucleated in vivo matured ovine oocytes. Oocytes were obtained from superstimulated Scottish blackface ewes, the cell line was established from the embryonic disc of a day 9 embryo obtained from a Welsh mountain ewe. Reconstructed embryos were cultured in the ligated oviduct of a temporary recipient ewe for 6 days, recovered and assessed for development.

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Table 4

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DATE OF NUCLEAR TRANSFER	PASSAGE NUMBER	- NUMBER OF MORULA, BLA STOCYSTS / TOTAL NUMBER
17.1.95	6	4/28
19.1.95	7	1/10
31.1.95	13	0/2
2.2.95	13	0/14
7.2.95	11	1/9
9.2.95	11	1/2
14.2.95	12	
16.2.95	13	3/13
TOTAL		10/78 (12.8%)

 $\mathcal{E}(\lambda)$ 

Table 5 shows induction of pregnancy following transfer of all morula/blastocyst stage reconstructed embryos to the uterine horn of synchronised final recipient blackface ewes. The table shows the total number of embryos from each group transferred the frequency of pregnancy in terms of ewes and embryos, in the majority of cases 2 embryos were transferred to each ewe. A single twin pregnancy was established which resulted in the birth of a single live lamb.

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Table 5

<u>rable 5</u>				
PASSAGE NUMBER	"MAGIC"			
P6	4			
P7	1.			
P11	2			
P12	0			
P13	3			
TOTAL MOR/BL	10			
TOTAL NUMBER EWES	6			
PREGNANT EWES	1 (16.7)			
FOETUSES/ TOTAL TRANSFERRED (%)	2/10 (20.0)			

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#### CLAIMS

- 1. A method of reconstituting an animal embryo, the process comprising transferring a diploid nucleus into an oocyte which is arrested in the metaphase of the second meiotic division without concomitantly activating the oocyte, keeping the nucleus exposed to the cytoplasm of the recipient for a period of time sufficient for the embryo to become capable of giving rise to a live birth and subsequently activating the reconstituted embryo while maintaining correct ploidy.
- 2. A method as claimed in claim 1, in which the animal is an ungulate species.
- 3. A method as claimed in claim 2, in which the animal is a cow or bull, pig, goat, sheep, camel or water buffalo.
- 20 4. A method as claimed in any one of claims 1 to 3, in which the donor nucleus is genetically modified.
  - 5. A method as claimed in any one of claims 1 to 4, wherein the diploid nucleus is donated by a quiescent cell.
    - 6. A method as claimed in any one of claims 1 to 5, wherein the recipient oocyte is enucleate.
- 7. A method as claimed in any one of claims 1 to 6, wherein nuclear transfer is achieved by cell fusion.
  - 8. A method as claimed in any one of claims 1 to 7, wherein the animal is a cow or bull and wherein the donor

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nucleus is kept exposed to the recipient cytoplasm for a period of from 6 to 20 hours prior to activation.

- 9. A method as claimed in any one of claims 1 to 8, wherein correct ploidy is maintained during activation by microtubule inhibition.
  - 10. A method as claimed in claim 9, wherein microtubule inhibition is achieved by the application of nocodazole.
- 11. A method as claimed in any one of claims 1 to 8, wherein correct ploidy is maintained during activation by microtubule stabilisation.
- 12. A method as claimed in claim 11, wherein microtubule stabilisation is achieved by the application of taxol.
  - 13. A method of preparing an animal, the method comprising:
    - (a) reconstituting an animal embryo as claimed in any preceding claim;
    - (b) causing an animal to develop to term from the embryo; and
  - (c) optionally, breeding from the animal so formed.
    - 14. A method as claimed in claim 13, wherein the animal embryo is further manipulated prior to full development of the embryo.
    - 15. A method as claimed in claim 14, wherein more than one animal is derived from the embryo.

- 16. A reconstituted animal embryo which is capable of giving rise to a live birth and is prepared by a method as claimed in any one of claims 1 to 12.
- 5 17. An animal prepared by a method as claimed in any one of claims 13 to 15.
  - 18. An animal developed from an embryo as claimed in claim 16.

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#### **ABSTRACT**

# UNACTIVATED OOCYTES AS CYTOPLAST RECIPIENTS FOR NUCLEAR TRANSFER

A method of reconstituting an animal embryo involves transferring a diploid nucleus into an oocyte which is arrested in the metaphase of the second meiotic division. The oocyte is not activated at the time of transfer, so that the donor nucleus is kept exposed to the recipient cytoplasm for a period of time. The diploid nucleus can be donated by a cell in either the GO or G1 phase of the cell cycle at the time of transfer. Subsequently, the reconstituted embryo is activated. Correct ploidy is maintained during activation, for example, by incubating the reconstituted embryo in the presence of a microtubule inhibitor such as nocodazole. The reconstituted embryo may then give rise to one or more live animal births. The invention is useful in the production of transgenic animals as well as non-transgenics of high genetic merit.

Docket No.: 2980-003

# DECLARATION AND POWER OF ATTORNEY FOR APPLICATION UNDER 35 USC 111(a)

As a below named inventor, I hereby declare that:

My residence, post office and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter claimed and for which a patent is sought on the invention entitled <u>UNACTIVATED</u>

OOCYTES AS CYTOPLAST RECIPIENTS FOR NUCLEAR TRANSFER, the specification of which

[] is attached hereto applicable)

[X] was filed on February 19, 1997 as Application Serial No. 08/803,165 and was amended on (if

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is known to me to be material to patentability in accordance with Title 37, Code of Federal Regulations, Section 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119 or Section 365 of any foreign and/or International (PCT) application(s) for patent or inventor's certificate listed below, and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s):

**Priority Claimed** 

Number Country
PCT/GB96/02098 Great Britain
GB\_9517779.6 Great Britain

Day/Month/Year filed Yes No August 30, 1996 X

Great Britain August 30, 1996 X
Great Britain August 31, 1995 X

I hereby claim the benefit under Title 35, United States Code, Section 120 or 365 of any United States and/or International (PCT) application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56(a) which occurred between the filing date of the prior application and the national or International (PCT) filing date of this application:

Prior U. S. Application(s):

Serial No. PCT/GB96/02098 Filing Date

Status: Patented, Pending, Abandoned

August 30, 1996

Pending

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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